

Electronic Acknowledgement Receipt

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|---|--|
| EFS ID: | 3045557 |
| Application Number: | 10077182 |
| International Application Number: | |
| Confirmation Number: | 9522 |
| Title of Invention: | Balancing arbitragable tracking securities |
| First Named Inventor/Applicant Name: | Steven M. Bloom |
| Customer Number: | 26161 |
| Filer: | Denis G. Maloney/Marie Collins |
| Filer Authorized By: | Denis G. Maloney |
| Attorney Docket Number: | 09857-092001 |
| Receipt Date: | 25-MAR-2008 |
| Filing Date: | 15-FEB-2002 |
| Time Stamp: | 09:43:09 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| | |
|--|-----------------|
| Submitted with Payment | yes |
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$ 120 |
| RAM confirmation Number | 7857 |
| Deposit Account | 061050 |
| Authorized User | |

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) /Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|-----------|----------------------------------|------------------|------------------|
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|---|------------------------|-----------------------|--|-----|----|
| 1 | | reply09857-092001.pdf | 1231849 d9c68994a7a6d7b73ab2cd1f1ae495a7ee09901 | yes | 23 |
| Multipart Description/PDF files in .zip description | | | | | |
| Document Description | | Start | End | | |
| Amendment - After Non-Final Rejection | | 1 | 1 | | |
| Claims | | 2 | 7 | | |
| Applicant Arguments/Remarks Made in an Amendment | | 8 | 23 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Extension of Time | ext09857-092001.pdf | 27951 04c48b095cdf09e49257115348af2f0383a3c7e4 | no | 1 |
| Warnings: | | | | | |
| Information: | | | | | |
| 3 | Fee Worksheet (PTO-06) | fee-info.pdf | 8141 e0ef2ce23a56153d53e9c27c898c2b5385577a93 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | 1267941 | | |
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